A Theory-guided X-ray Absorption Spectroscopy Approach for Identifying Active Sites in Atomically-Dispersed Transition Metal Catalysts.

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1. Abstract

Atomically dispersed supported metal catalysts offer new properties and the benefits of maximized metal accessibility and utilization. The characterization of these materials, however, remains challenging. Using atomically-dispersed Pt supported on crystalline MgO (chosen for its well-defined bonding sites for Pt) as a prototypical example, in this work, we show how high-throughput density functional theory calculations (for assessing all the potentially stable Pt sites) combined with automated EXAFS analysis can lead to unbiased identification of isolated, surface-enveloped platinum cations as the catalytic species for CO oxidation. The catalyst has been characterized by atomic-resolution imaging, EXAFS, and HERFD-XANES spectroscopies; the proposed Pt site are in full agreement with experiment. This theory-guided workflow leads to rigorously determined structural models and provides a more detailed picture of the structure of the catalytically active sites than what is currently possible with conventional EXAFS analysis. As this approach is efficient and agnostic to the metal, support, and catalytic reaction, we posit that it will be of broad interest to the materials characterization and catalysis communities.
2. Introduction

Atomically dispersed metals on reducible (CeO$_2$, TiO$_2$, Fe$_3$O$_4$) and nonreducible (Al$_2$O$_3$, MgO, ZrO$_2$) metal oxide supports have drawn wide attention recently as catalysts for a wide range of reactions including CO oxidation, water-gas shift, and other oxidations and reductions$^{1-8}$. These catalysts offer the advantages of maximum accessibility of the metals, which are often expensive (e.g., Pt, Pd, Ir, Rh), and catalytic properties distinct from those of the conventional supported metal clusters and nanoparticles. Like most single transition metal (TM) atoms in organometallic complexes, those on metal oxide supports are positively charged, having properties that are strongly influenced by their surroundings$^{9-12}$. These surroundings (bonding environments) are challenging to identify, because the support surfaces are inherently heterogeneous. Even when the most incisive experimental methods are used (e.g., aberration corrected scanning transmission electron microscopy (STEM), X-ray absorption spectroscopy (XAS), and probe molecule experiments with spectroscopies), the results often provide only average structural models of the dominant metal bonding environment; the possible contributions of minority catalytic species are often overlooked$^{13}$.

Common research approaches involve using experimentally determined structure parameters (e.g., bond lengths and coordination numbers from extended x-ray absorption fine structure (EXAFS) spectroscopy) with complementary information characterizing local geometry and electronic structure from x-ray absorption near-edge structure (XANES) spectra. These techniques are often combined to create plausible atomistic models that are subsequently investigated using density functional theory (DFT) calculations to derive quantitative structural and mechanistic insights$^{7,14,15}$. More recent approaches have utilized machine learning techniques to gain structural information$^{16}$.
We emphasize that, as conventionally practiced, the initial development of atomistic models relies predominantly on thorough EXAFS modeling, which is often quite demanding and time intensive for the user, especially when multiple scattering paths are considered. Today’s “hands-on” EXAFS modeling approaches fall short of determining the existence of multiple metal bonding environments—a central limitation in the field. Moreover, structures inferred for the sites may not be consistent with all the complementary experimental results. As atomically dispersed catalysts continue to be reported for new reactions, there is a clear potential scientific benefit to develop computationally guided approaches for characterization of these materials, specifically for the quantitative identification of the metal site environment(s).

Fig. 1. (a) Schematic representation of theory-guided workflow for identifying the Pt active sites stabilized within or on a MgO lattice. (b) Radar plot for the most stable [100], [100]Mg-vac, and [310] Pt configurations showing that the [100]Mg-vac/sub1 (blue) is more consistent with our experimental observations than [100]/sub0/\*O2 (orange) or [310]/pos1/\*O2 site (green). Structure models are presented in Fig. 3.
As a point of departure from reported investigations, we now describe a theory-led workflow for characterizing atomically dispersed supported metal catalysts. Our approach combines state-of-the-art characterization techniques (high-angle annular dark field (HAADF) STEM, EXAFS spectroscopy, and high-energy resolution fluorescence detection (HERFD) XANES spectroscopy) with DFT-level theory for critical identification of the local environments for a prototypical catalyst—atomically dispersed Pt cations on MgO (Pt/MgO). We chose MgO as a prototypical support because it (a) is nonreducible, (b) consists of low-atomic-number elements for excellent contrast with Pt in STEM, and (c) is available as robust, high-area crystalline materials used in industrial catalysts\(^5,10\). These crystalline supports are expected to present limited numbers of sites for stable anchoring of isolated metal atoms, making Pt/MgO an excellent test case to exemplify our methodology.

**Figure 1a** is a summary of our strategy that combines microscopy and conventional EXAFS analyses (Sections 3.1 and 3.2), large-scale DFT calculations (Section 3.3), automated DFT-based EXAFS analyses (Section 3.4), and FEFF-XANES spectroscopy (Section 3.5) to characterize Pt/MgO and identify the structure most consistent with data obtained through all the complementary techniques. As shown throughout this report, the outcome of this approach, represented as a radar plot (**Fig. 1b**, details in **Supplementary Table 1**), identifies sub-surface Pt sites within MgO (denoted Pt\(^{+4}/[100]\)Mg\(^{+2}\)) to be the most populated sites for Pt atoms for this system. Although the approach is applied for atomically dispersed Pt/MgO (Section 3.6), we emphasize that the strategy is agnostic to the metal, the oxide support, and the reaction. To our best knowledge, this approach is unique in the field and represents a significant step forward towards the goal of comprehensive, validated integration of theoretical and experimental methods.
3. Results


Atomically dispersed Pt on MgO, prepared from ethanol-water solutions of K₂PtCl₄ and slurried MgO powder, was calcined at 700 °C. Low Pt loadings (≈ 0.05 wt%) were targeted to minimize the number of different support surface sites that the Pt atoms occupy. TEM images and x-ray diffraction (XRD) patterns show that MgO was present as the cubic phase consisting of approximately 200-nm diameter crystallites; there was no XRD evidence of metallic Pt (Supplementary Fig. 1). The calcined MgO was highly dehydroxylated, with only a weak OH band in the IR spectrum, at 3741 cm⁻¹ (Supplementary Fig. 2). HAADF-STEM images show atomically dispersed Pt in the absence of clusters or nanoparticles (Fig. 2, Supplementary Fig. 3). The bright spots in yellow circles (Fig. 2a) show isolated Pt atoms along Mg columns in the (110) projection. Intensity profiles along the X–Y line in Fig. 2b (Fig. 2c) confirm the isolation of the Pt atoms.

Fig. 2. (a) HAADF-STEM image (along the (110) zone axis) showing that the MgO-supported Pt atoms (yellow circles) were atomically dispersed. (b) Higher magnification image showing a single isolated Pt atom, and (c) corresponding intensity profile from the X–Y line scan in 2b.
3.2. Conventional EXAFS analysis.

Pt L₃-edge EXAFS spectra were modeled in Artemis¹⁸ using traditional methods. The best-fit model comprises three scattering paths: Pt–O (2.05 ± 0.01 Å), with a coordination number (CN) of 6.7 ± 0.8, and two Pt–Mg (3.01 ± 0.04 and 3.15 ± 0.04 Å), with a total CN of 11 (Supplementary Figs. 4-6, Supplementary Tables 2-4). Addition of a Pt–Pt scattering path (expected at ~ 2.7 Å for Pt⁰ clusters) resulted in non-meaningful results¹⁹, confirming the atomic dispersion of Pt demonstrated by HAADF-STEM. The continuous Cauchy wavelet transform (CCWT) heatmap of the sample (Supplementary Fig. 7a) shows two main scattering features arising from short and long distances²⁰. This analysis provides evidence that the longer features at 5 Å⁻¹ arise from a lower-Z scattering atom than Pt (i.e., Mg) as it does not match the features of the CCWT for Pt metal (Supplementary Fig. 7b). The scattering feature with a short-distance, low-Z scattering component was best-modeled as a Pt–O path. The higher-R (distance) component was modeled as two Pt–Mg paths at longer distances.

We emphasize that this conventional analysis of the modeled EXAFS data is insufficient to determine more than a single average, best-fit structure. Thus it is difficult to define the actual bonding site. To address the possible presence of more than one significant supported Pt species and to exhaustively examine the possible Pt bonding environments, we developed an automated, high-throughput DFT-based workflow for EXAFS data fitting that reduces user intervention, and thereby improves the objectivity of the data interpretation.

3.3. A comprehensive library of DFT-optimized structures.

We used a theory-guided characterization approach to elucidate the local Pt bonding environment, that is, using DFT calculations (PBESol functional, implemented in VASP)²¹ to create a comprehensive library of all plausible Pt/MgO structures. As summarized in Fig. 3a-c, we
considered three representative MgO facets (terrace sites: [100], Mg vacancy terrace sites: [100]$^{\text{Mg-vac}}$, step sites: [310]), various adsorbates (*O, *O$_2$), vacancy types (O$^{\text{vac}}$, Mg$^{\text{vac}}$), and sub-surface Pt locations$^{22}$. These structures spanning a wide range of local Pt environments were used to construct the temperature-dependent phase diagram (using pMuTT, harmonic approximation for vibrational entropy) for each site$^{23}$. We chose to analyze each facet separately as the mechanism of Mg-vacancy formation (and therefore, Mg chemical potential ($\mu_{\text{Mg}}$)) during the high-temperature calcination is not known.

**Fig. 3.** Atomistic models for the (a) [100], (b) [100]$^{\text{Mg-vac}}$, and (c) [310] MgO facets considered in this study. Color scheme: Mg (green), O (red), Pt (grey). The corresponding temperature-dependent phase diagrams are summarized in (d), (e), and (f). Notation scheme for [100] and [100]$^{\text{Mg-vac}}$ MgO terraces: top surface (sub0), first (sub1), second (sub2), and third (sub3) sub-surface layers. For the [310] facet, we considered various step site positions including leading step
edge (pos1), lower step edge (pos2), underneath step edge (pos3), and behind step edge (pos4). The phase diagrams were calculated relative to the most-stable “bare” Pt-configuration (i.e., without adsorbates). All DFT-optimized structures are available as an Atomic Simulation Environment (ASE) database file in the Supplementary Information.

The phase diagrams in Fig. 3d-f show the relative stabilities of various Pt sites (i.e., locations and adsorbate coverages) for the [100], [100]Mg-vac, and [310] facets. Our calculations for the stoichiometric [100] and [310] surfaces show that surface Pt ([100]/sub0/*O2) and leading step edge ([310]/pos1/*O2) sites are favored under our experimental conditions (\(T = 300\) K, \(P_{O2} = 1\) bar), respectively. Although the results demonstrate weaker O2 binding for [100]/sub1 (O2 desorption is predicted at \(T > 600\) K), the unsaturated [310]/pos1 (orange triangles) and [310]/pos2 (orange squares, 0.1 eV less stable) sites are characterized by strong *O adsorption associated with the undercoordinated Pt atom. In contrast, no oxygen adsorption is predicted on the most stable [100]Mg-vac/sub1 structure at room temperature.

Next, we compared the relative stabilities of Pt atoms at the surface and in sub-surface layers for the various MgO facets. Significantly, for the stoichiometric [100] facet, Pt sites in the first (second) sub-surface layer are 1.3 (2.1) eV less favorable energetically than those at the MgO surface (Fig. 3d-f). Although surface Pt sites are preferred for the stoichiometric [100] surface (i.e., [100]/sub0, circles in Fig. 3d), the calculations show that creation of a Mg-vacancy (denoted as [100]Mg-vac) changes the relative stabilities. Specifically, in contrast to that in the [100] facet, Pt substitution in the first sub-surface layer ([100]Mg-vac/sub1) is energetically more favorable than that in the surface layer (0.8 eV less stable, [100]Mg-vac/sub0) or the second sub-surface layer (0.3 eV less stable, [100]Mg-vac/sub2) (Fig. 3d-f). These computational results are based on the PBESol
functional; similar calculations with other functionals (RPBE/D3(BJ) and PBE/D3(BJ)) did not qualitatively change the trends (Supplementary Fig. 8).

**3.4. Automated DFT-based workflows for fitting EXAFS data with improved thoroughness and objectivity.**

Although conventional EXAFS modeling indicates high Pt–O and Pt–Mg CNs and the absence of a Pt–Pt contribution, we emphasize that this “hands-on” approach is limited to analyzing a few plausible, average scattering paths and does not identify a specific bonding configuration. This approach is therefore not sufficient to characterize the possible anisotropy of the individual Pt–O and Pt–Mg contributions. To overcome this limitation, we used the open-source X-ray analysis package Larch to perform EXAFS analysis of all 47 DFT-optimized structures mentioned above.

The DFT-optimized structures were used “as-is” in the fitting, with fixed CNs and spatial orientations of Mg and O atoms in the fitting. In contrast to the typical approach whereby the average nearest-neighbors fits (described by the average bond lengths and CNs) are used to create structural models, we considered all relevant scattering paths (> 250 in some cases) for each DFT-optimized structure. Notwithstanding the increased sophistication of this approach, it provides a typical EXAFS fit for each candidate structure in a few minutes, without user intervention.
Fig 4. Flow-chart for hierarchically classifying all the possible paths into categories. The underlined numbers show the number of paths in the hierarchical classification approach, and the text in red identifies fitted parameters.

As the contribution of each scattering path to the total EXAFS depends on various parameters, it is important to limit the total number of variables in the fitting. This efficiency is achieved by (1) hierarchically classifying the individual paths into distinct categories based on their effective scattering distances ($R_{\text{eff}}$) and the identity of the scattering atom (if $R_{\text{eff}} < 3.2$ Å) and (2) limiting the number of EXAFS variables optimized across each category. Specifically, for each DFT-optimized structure, our algorithm uses the following EXAFS fitting parameters:

(a) one energy alignment parameter (denoted as $\Delta E_0$); a single value is used for all paths

(b) three distance scaling parameters, $\alpha_1$, $\alpha_2$, and $\alpha_3$, one each for three different $R_{\text{eff}}$ ranges, where $R_{\text{eff,optimized}} = \alpha_i \times R_{\text{eff,DFT}}$ and
five mean square variations in path lengths that depend on the scatterer identity (e.g., $\sigma_{1,\text{Pt-O}}^2$ and $\sigma_{1,\text{Pt-Mg}}^2$ if $R_{\text{eff}} < 3.2$ Å) and the effective scattering distance (e.g., $\sigma_3^2$, $\sigma_4^2$, $\sigma_5^2$).

The hierarchical classification is summarized in Fig. 4 and Table 1. The details of this approach and strategy of this categorization are discussed in the Supplementary Information.

Table 1. Summary of hierarchical approach used to classify all possible scattering paths into five different categories. Only 9 parameters (shown in red) are optimized in the automated EXAFS analyses.

<table>
<thead>
<tr>
<th>Category</th>
<th>Classification criterion</th>
<th>Number of paths</th>
<th>Variables</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>$R_{\text{eff}} &lt; 3.2$ Å and Pt–O scatter</td>
<td>6</td>
<td>$\alpha_1$, $\sigma_{1,\text{Pt-O}}^2$</td>
</tr>
<tr>
<td>2</td>
<td>$R_{\text{eff}} &lt; 3.2$ Å and Pt–Mg scatter</td>
<td>11</td>
<td>$\alpha_2$, $\sigma_3^2$</td>
</tr>
<tr>
<td>3</td>
<td>$3.2$ Å &lt; $R_{\text{eff}}$ &lt; 4 Å</td>
<td>41</td>
<td>$\alpha_3$, $\sigma_4^2$</td>
</tr>
<tr>
<td>4</td>
<td>$4$ Å &lt; $R_{\text{eff}}$ &lt; 4.5 Å</td>
<td>58</td>
<td>$\alpha_4$, $\sigma_5^2$</td>
</tr>
<tr>
<td>5</td>
<td>$4.5$ Å &lt; $R_{\text{eff}}$ ≤ 5 Å</td>
<td>42</td>
<td>$\alpha_5$, $\sigma_6^2$</td>
</tr>
</tbody>
</table>

The scattering path classification scheme described above ensures that (1) the constraints on the number of fitting parameters (calculated on the basis of the Nyquist criterion) are not violated and (2) physically relevant characteristics of scattering paths (e.g., different mean square variations in path length, that is, $\sigma_{1,\text{Pt-O}}^2$ and $\sigma_{1,\text{Pt-Mg}}^2$ for Pt–O and Pt–Mg scatterers) are faithfully captured. The use of DFT structures enables modeling of the longer effective scattering distances (~ 5 Å), including contributions from multiple scattering paths using distance-dependent fitting parameters (e.g., $\sigma_i^2$, and $\alpha_i$) without overfitting the data. These points are well-illustrated by our
analyses for the $[100]^{\text{Mg-vac/sub1}}$ site (Fig. 4), whereby only 9 parameters (Table 1 and 2) are used to model all 158 unique scattering paths.

### Table 2. Optimized values of the 9 fitting parameters for the $[100]^{\text{Mg-vac/sub1}}$ site. Details of each individual scattering path are presented as a separate file in the SI.

<table>
<thead>
<tr>
<th>Category</th>
<th>$\Delta E_0$ (eV)</th>
<th>$\alpha_t$</th>
<th>$10^3 \times \sigma_i^2$ ($\AA^2$)</th>
<th>$R_{\text{eff,optimized}}$ ($\AA^2$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>4.8 ± 1.9</td>
<td>0.994 ± 0.005</td>
<td>3.2 ± 1.0</td>
<td>2.01, 2.01, 2.02, 2.03, 2.04, 2.05</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td>0.987 ± 0.011</td>
<td>6.7 ± 1.6</td>
<td>2.96, 2.97, 2.98, 2.98, 2.99, 2.99, 3.00, 3.00, 3.01, 3.01, 3.02</td>
</tr>
<tr>
<td>3</td>
<td></td>
<td>0.996 ± 0.001</td>
<td>6.3 ± 7.0$^a$</td>
<td>3.40 – 3.79$^b$</td>
</tr>
<tr>
<td>4</td>
<td></td>
<td></td>
<td>6.2 ± 5.4$^a$</td>
<td>4.02 – 4.40$^c$</td>
</tr>
<tr>
<td>5</td>
<td></td>
<td></td>
<td>5.3 ± 4.3$^a$</td>
<td>4.59 – 4.98$^d$</td>
</tr>
</tbody>
</table>

$^a$Wide spans of $\sigma_3^2$, $\sigma_4^2$, $\sigma_5^2$ values arise as single and multiple scattering are considered together; $^b$41 Category 3 scattering paths; $^c$58 Category 4 scattering paths; $^d$42 Category 5 scattering paths.

The above fitting workflow was repeated for each unique DFT structure. In addition to the reduced-$\chi^2$ metric (commonly used by the XAS community), we quantified the agreement between the experimental and simulated EXAFS spectra for each DFT structure by using the Fréchet distance in $R$-space for the magnitude portions of the data and the model (denoted as $\delta_F$, which measures the similarity between two curves). The histogram in Fig. 5a shows the reduced-$\chi^2$ of the EXAFS fit corresponding to each DFT structure, along with the Fréchet distance (a lower value is better), corresponding to each structure (Supplementary Table 6). In general, we observed that the $[100]^{\text{Mg-vac}}$ structures (blue bars in Fig. 5a) are in better agreement with experiment (reduced-$\chi^2 < 20$, $\delta_F < 2$). Although some of the $[100]$ and $[310]$ Pt configurations (e.g., $[100]$/sub1 and $[310]$/pos3/*/O$2$) show satisfactory agreement with experimental EXAFS (reduced-$\chi^2 < 35$, $\delta_F <$
our DFT calculations show these configurations to be highly unstable (1.5 eV and 0.99 eV, respectively).

Fig. 5. (a) Reduced-$\chi^2$ and Fréchet distance ($\delta_F$) of EXAFS analysis for all the DFT-optimized structures, (b) Boltzmann fraction calculations for all facets. The DFT optimized geometries of the most stable (c) [100]/sub0/*O$_2$ (d) [100]$^{Mg-vac}$/sub1, and (e) [310]/pos1/*O$_2$ structures are shown with the corresponding EXAFS fits in (f), (g), and (h) showing the magnitude (fit: blue, experiment: black) and imaginary portions (fit: green, experiment: black) of Fourier transforms.
The $k$-range of 2.2–12.5 Å$^{-1}$ and the $R$-range of 1.0–5.0 Å were used for the fits. Colors: Mg (green), O (red), Pt (grey). The purple sphere in (d) represents the sub-surface Mg-vacancy.

This interplay between thermodynamic stability of a structure and its consistency with the EXAFS data is quantitatively illustrated by comparing the quality of the EXAFS fits with the DFT-calculated Boltzmann fractions (at 300 K) (Fig. 5b). Unsurprisingly, only the most stable structures ([100]/sub0/*O$_2$ (orange circle), [100]$^{\text{Mg-vac}}$/sub1 (blue triangle), and [310]/pos1/*O$_2$ (green triangle)) were observed in any significant number (based on Boltzmann distributions). The EXAFS fits corresponding to the three stable Pt configurations are presented in Fig. 5c-e, showing that the [100]$^{\text{Mg-vac}}$/sub1 (CN$_{\text{Pt-O}}$ = 6, CN$_{\text{Pt-Mg}}$ = 11) model agrees most closely with experiment. Specifically, although the [100]/sub0/*O$_2$ structure agrees satisfactorily with the Pt–O scattering path (CN$_{\text{Pt-O}}$ = 7), the Pt–Mg path is underestimated, corresponding to the much lower Pt–Mg contribution (CN$_{\text{Pt-Mg}}$ = 8). We emphasize that the [310]/pos3 site (as suggested by Sarma et al.$^{26}$) has coordination numbers similar to those characterizing [100]$^{\text{Mg-vac}}$/sub1, but it does not agree well with the EXAFS data (Supplementary Fig. 10). These results demonstrate that both the effects of coordination number (i.e., [100]/sub0/*O$_2$ vs. [100]$^{\text{Mg-vac}}$/sub1) and the local spatial orientation of the scattering atoms (i.e., [310]/pos3 vs. [100]$^{\text{Mg-vac}}$/sub1) are captured in our EXAFS fitting approach.

At this stage, it is useful to highlight an additional advantage of our EXAFS fitting procedure, with [100]$^{\text{Mg-vac}}$/sub1 used as an illustrative example. Instead of using the average Pt–O (and/or Pt–Mg) distances and coordination numbers as the fitting parameters (as done conventionally), in our approach each individual Pt–O (total 6 for [100]$^{\text{Mg-vac}}$/sub1 as an example) and Pt–Mg (total 11) scattering path is considered separately. This procedure allows us to capture
the anisotropies of the local bonding environment, as indicated by the DFT-calculated bond lengths. Overall, we considered 158 total paths, which included single, double, and multiple scattering paths (involving up to 5 scattering atoms), using only use 9 fitting variables (Tables 1 and 2) to achieve unprecedented agreement with the experimental EXAFS across the entire $R$-range (Fig. 5g).

As the entire workflow relies on physically motivated models, it is possible to bolster the self-consistency between DFT structures and EXAFS fits. Beyond providing good models for the EXAFS fits, the DFT calculations also provide force constants that can be used to generate ab-initio vibrational mean-square relative displacements for the EXAFS (i.e., $\sigma^2$)\textsuperscript{27-29}. Specifically, the values for $\sigma^2$ for Pt–Mg (0.005 Å\textsuperscript{2}) and Pt–O (0.003 Å\textsuperscript{2}) obtained from DFT-calculated harmonic frequencies are consistent with the results of the EXAFS fitting (Pt–Mg: 0.0067 ± 0.001 Å\textsuperscript{2}, Pt–O: 0.0032 ± 0.001 Å\textsuperscript{2}) (Supplementary Table 7). This point highlights the uniqueness of our approach: (1) the DFT-optimized structures are used to perform an objective, thorough EXAFS fitting, and (2) the EXAFS fitting parameters (themselves determined using the automated Python workflows) are consistent with independently calculated thermal disorders (from DFT vibrations). This level of detail has not been reported for any atomically dispersed catalysts, and we posit that it represents a significant step forward in automated analysis, self-consistent interpretation of EXAFS data.

3.5. XANES evidence of structure.

In addition to the EXAFS, we also consider the XANES data (Supplementary Fig. 11; data characterizing reference compounds are shown for comparison). XANES spectra provide evidence of the Pt electronic structure, as the intensity of the white line at the Pt L\textsubscript{3} edge is a measure of the unoccupied d-states and occupied p-states ($2p_{3/2} \rightarrow 5d_{3/2}$ or $5d_{5/2}$)\textsuperscript{30}. The oxidation state of the
supported Pt is close to that of Pt$^{4+}$ in H$_2$Pt(OH)$_6$ and markedly different from that of the Pt$^{2+}$ in (Pt(acac)$_2$) (acac = acetylacetonato, [C$_5$H$_7$O$_2$]$^-$) and Pt$^0$ in Pt foil (Supplementary Fig. 11). These data imply that isolated Pt$^{4+}$ was present in six-coordinate octahedral geometry in cation vacancy sites, consistent with the STEM and EXAFS data. To account for charge balance, one Pt$^{4+}$ in an MgO sub-surface site requires one Mg$^{2+}$ vacancy, which implies a Pt–Mg coordination number of 11 and not 12, consistent with the EXAFS analyses. These results further agree with DFT-calculated Bader charges that show consistent oxidation states for the sub-surface Pt$^{4+}$ with one Mg vacancy and the Pt$^{4+}$ in H$_2$Pt(OH)$_6$ ($q_{\text{Bader}} = +1.35e$ and $+1.41e$, respectively) (Supplementary Table 8). These values are much higher than $q_{\text{Bader}} = +0.86e$ for the Pt$^{2+}$ in Pt(acac)$_2$ (Supplementary Table 8).

**Fig. 6.** (a) Fréchet distances from comparison of HERFD-XANES data with FEFF-simulated XANES and DFT-calculated Boltzmann fractions. (b) Simulated and experimental XANES spectra for [100]$^{\text{Mg-vac}}$/sub1. Inset (dashed red box) shows the region of the spectra from 11575–11700 eV. (c) Comparison of FEFF-simulated XANES spectra of the most stable structures from each facet ([100]$^{\text{Mg-vac}}$/sub1, [100]/sub0/$^*/$O$_2$, and [310]/pos1/$^*/$O$_2$) with HERFD-XANES data from 11575–11700 eV.
We also collected HERFD-XANES spectra; HERFD-XANES minimizes the limitations of energy resolution affected by core-hole lifetime broadening and beamline optics in conventional XANES\textsuperscript{31}. A comparison of the HERFD and conventional XANES data (Supplementary Fig. 11) shows the advantages of HERFD in resolving near-edge features including (a) the stable near-zero signal in the pre-edge; (b) strong intensities and sharpness without a long tail characterizing the white line; and (c) the clear resonance feature with little broadening beyond the white line.

The HERFD-XANES data provide insight into the catalyst structure beyond what is determined by the EXAFS data and DFT-guided analysis\textsuperscript{32}. The HERFD-XANES data were compared with FEFF-simulated XANES spectra based on the aforementioned DFT-determined structures (Fig. 6)\textsuperscript{33,34}. The agreement between experimental and simulated XANES spectra (quantified using the Fréchet distance ($\delta_F$)) is compared with the thermodynamic stability (Fig. 6a) of various different Pt sites. Fig. 6b, c show a comparison of the experimental HERFD and the FEFF simulations for each of the most stable structures predicted from DFT-calculated Boltzmann fractions: [100]/sub0/*O$_2$ (99.2%), [100]$^{\text{Mg-vac}}$/sub1 (99.9 %), and [310]/pos1/*O$_2$ (98.4%). The FEFF results were shifted 4.5 eV and scaled to match the normalized experimental results for values $> 11650$ eV. Of all the stable structures, both [100]/sub0/*O$_2$ and [100]$^{\text{Mg-vac}}$/sub1 show good agreement with the experimental XANES; however, by comparing the corresponding EXAFS spectra in Fig. 5f and g, we see that the Mg-vacancy provides a notable improvement over [100]/sub0/*O$_2$. Although some [310] structures are more consistent with experiment than these ($\delta_F < 0.125$), they are significantly less stable (Boltzmann fraction $< 10^{-9}$), and, we infer, unlikely to exist. The most stable [310] structures show poor agreement with experiment (Fig. 6c (green)).

Taking together the unique combination of EXAFS and HERFD-XANES fits of [100]$^{\text{Mg-vac}}$/sub1 and the DFT-predicted stability, our results show that the Pt/MgO catalyst is well-
characterized as an atomically dispersed Pt$^{4+}$ ion embedded in the first subsurface layer (sub1) located adjacent to a Mg-vacancy site. As shown in Supplementary Fig. 12, our HERFD-XANES analyses and DFT calculations do not identify the exact location of the Mg-vacancy site; we used the most stable structure, Mg-vacancy in the surface layer (sub0) for further analysis.

To analyze the origin of the various features in the HERFD, Supplementary Fig. 13 shows the locally projected, $l$-dependent density of states ($l$-DOS) of the [100]Mg-vac/sub1 site. Overall, the Pt L$_3$ HERFD spectra resemble the Pt $d$-DOS, as indicated by the vertical dashed lines. The white line is composed largely of Pt $d$-DOS with a small contribution from the O $p$-DOS, with its position being largely insensitive to the local disorder. The second peak (~9 eV above the Fermi level) shows contributions from both the O and Mg atoms as well as the local Pt $s$-DOS, indicating that this feature corresponds to non-local states and arises at least in part from bonding of Pt to the near-neighboring atoms (Supplementary Fig. 13).

### 3.6. CO oxidation catalyzed by Pt/MgO.

We characterized the Pt/MgO as a catalyst for CO oxidation as a probe reaction. The light-off curves (Fig. 7a) show that the reaction became readily measurable at approximately 180 °C, with the CO conversion reaching nearly 100% at 280 °C under our conditions. Separate experiments were carried out to determine reaction rates (turnover frequencies, TOF, rates per Pt atom) from data obtained at low conversions (<5%), which were shown to be differential by the linear dependence of conversion on inverse space velocity at temperatures of 180–200 °C (Fig. 7b,c). An Arrhenius plot (Fig. 7d) indicates an apparent activation energy of 79 ± 2 kJ mol$^{-1}$. Data showing conversion as a function of time onstream in the flow reactor under steady-state conditions (Supplementary Fig. 14) indicate that the catalyst was stable, retaining its activity for as long as it was onstream (48 h) at 210 °C. The catalyst remained white in color after use. The
HAADF-STEM images of used Pt/MgO samples (after three light-off experiments) show that Pt was still atomically dispersed (Supplementary Fig. 15), even at a high temperature (300 °C). As further checks of the catalyst stability, we recorded in-operando HERFD-XANES data during CO oxidation at 210 °C (Supplementary Fig. 16), demonstrating unchanged spectra.

**Fig. 7.** (a) Light-off curve characterizing CO oxidation catalyzed by Pt/MgO. Error bars represent standard deviation determined from three independent measurements. The once-through plug-flow reactor was heated from room temperature at a rate of 2 °C/min to 300 °C. The feed was 5.0% CO in helium flowing at 4.0 mL (NTP)/min + 5.0% O₂ in helium flowing at 16.0 mL (NTP)/min; the catalyst mass was 100 mg. (b) Demonstration of differential conversion at various temperatures. Low-conversion (<5%) data were obtained under the conditions stated in a, except that the feed gas was 5.0% CO in helium flowing at 5.0, 6.0, or 8.0 mL (NTP)/min + 5.0% O₂ in helium flowing at 20.0, 24.0, or 32.0 mL (NTP)/min; the catalyst mass was 100 mg. (c) TOF values determined from slopes of lines in b, with errors. (d) Arrhenius plot. (e) DFT-calculated CO and O₂ adsorption
at various temperatures and O\textsubscript{2} partial pressures. (f) Reaction enthalpy diagram with calculated barriers for CO oxidation on $[100]_{\text{Mg-vac/sub1}}$ surface.

This catalytic probe reaction provided yet another opportunity to verify the identity of the support sites for Pt. Fig. 7e shows trends in CO adsorption for the stable $[100]_{\text{Mg-vac/sub1}}$ Pt terrace site at various temperatures. Unsurprisingly, stronger CO adsorption was found for the undercoordinated Pt site (i.e., $[100]/\text{sub0}$); we predicted CO adsorption at temperatures as high as about 83 °C (Supplementary Fig. 17). In contrast, the sub-surface Pt site ($[100]_{\text{Mg-vac/sub1}}$) is characterized by weaker CO binding (0.25 eV at 83 °C); no CO adsorption was predicted at room temperature. The trends for the $[100]_{\text{Mg-vac/sub1}}$ site are consistent with (1) our IR experiments that do not show significant CO uptakes at room temperature (Supplementary Fig. 18) and (2) Sarma’s report\textsuperscript{23} of low-temperature (-163 °C) IR spectra of CO on a sample similar to ours; a 2166 cm\textsuperscript{-1} $\nu_{\text{CO}}$ band was observed, agreeing within error with our calculated frequency (2141 cm\textsuperscript{-1}).

It is significant, and a challenge to our interpretation, that our Pt/MgO catalyst, which consists of sub-surface, highly coordinated Pt sites, does not bind CO at room temperature but nonetheless is catalytically active for CO oxidation. Thus, we turned to DFT calculations (RPBE/D3-BJ functional, nudged elastic band and dimer method for barriers) to investigate various possible mechanisms of CO oxidation on the $[100]_{\text{Mg-vac/sub1}}$ site. Indeed, our calculations show that the insertion of CO into the surface-bound O\textsubscript{2} is the rate limiting step—the calculated enthalpic barrier (105.3 kJ/mol) is in satisfactory agreement with experimentally determined apparent activation energies (Fig. 7f); similar carbonate species were proposed by Sarma et al.\textsuperscript{26} for Pt at the step site on MgO. This pathway has a lower energy barrier than previously proposed mechanisms.
At this stage, it is useful to examine whether the CO oxidation reaction affects the Pt bonding sites. Specifically, as the EXAFS data represent an average of all the Pt environments, we posit that our EXAFS workflow is sufficiently versatile to provide quantitative characterization of the heterogeneity of the Pt sites (Supplementary Fig. 19). As a demonstration, we simultaneously fitted two Pt sites (, [100]Mg-vac/sub1, with [100]/sub0/*O2 and [310]/pos1/*O2) while allowing the fractional contribution of each site and the EXAFS fitting parameters to vary simultaneously. The results, summarized in Supplementary Fig. 20, show that [100]Mg-vac/sub1 is the dominant site for the as-synthesized (71.1% of total Pt) and the used (80.3% of total Pt) catalysts. Indeed, we observed that although the fraction of the major [100]Mg-vac/sub1 site did not change significantly during catalysis, the contributions of the minority species ([100]/sub0/*O2: 28.9% (fresh), 19.7% (used) did change). The contributions of the [310]/pos1/*O2 site were negligible in both cases. Detailed discussion of this quantitative EXAFS fitting is beyond the scope of this investigation.

4. Discussion

The intense recent attention paid to atomically dispersed supported metal catalysts reflects the promise of catalysts with valuable new properties and the prospect that some will be so simple in structure as to propel significant advances in the understanding of surface catalysis broadly at the atomic level. Some literature reflects the notion that these catalysts are straightforward to understand because the metals are atomically dispersed, but this view overlooks the intrinsic complexity of the supports and the need to incorporate the metal–support combinations in realistic models of the catalysts. Thus, there is a motivation to work with metal–support-site combinations that are nearly unique and can be understood in depth. Consequently, researchers have been
motivated to use crystalline metal oxides as supports and to use low metal loadings to create catalysts that can be approximated as isolated metals in unique, stable surroundings.

The characterization data presented here validate that approach. They have a high degree of internal consistency and show that the structure of the catalyst consisting of Pt in a loading of only 0.05 wt% on crystalline MgO powder is well represented with a single, stably encapsulated—yet still catalytically active—structure that meets the criterion of near structural uniqueness. A full set of complementary experimental characterizations combined with theoretical verification was needed to test the hypothesis that such a catalyst could be characterized structurally with some confidence.

The DFT-guided EXAFS fitting approach described here represents, we posit, a substantial advance in the characterization of such catalysts. Although previous approaches represent attempts to develop DFT models that are motivated by results of conventional EXAFS analyses, we instead used a library of DFT-optimized Pt structures to identify the single best representation of the all the experimental results (e.g., EXAFS, HERFD-XANES, IR spectra of adsorbed CO, and catalytic kinetics) collected in this investigation.

Going forward, we anticipate that further development of this combination of characterization techniques will help guide the choice of metal–support combinations to advance the field of atomically dispersed supported metal catalysts; help advance the understanding of the broad class of supported catalysts; and help guide the development of new and improved methods for understanding of even more complex surface structures.
5. Methods

Synthesis of Pt/MgO samples. MgO powder (1000 mg, US Research Nanomaterials) with a manufacturer-specified specific surface area of 25 m²/g was dispersed in 250 mL of ethanol in a 500-mL beaker with magnetic stirring. Aqueous K₂PtCl₄, 20 mL of 0.25 mM solution, and 40 mL of ethanol were transferred into the stirred beaker through a syringe pump at a rate of 5 mL/h. The resultant solid was collected by centrifugation, washed with distilled water, and then dried overnight in air in an oven at 80 °C. The resultant powder was calcined in flowing O₂ (10 mL(NTP)/min) and N₂ (40 mL(NTP)/min) as the temperature was ramped from room temperature to 120 °C and held for 2 h to remove any residual water and organics. The sample was then further calcined in a mixture of flowing O₂ (10 mL(NTP)/min) and N₂ (40 mL(NTP)/min) as the temperature was ramped at a rate of 5 °C/min until a final temperature of 700 °C was reached, which was held for 4 h.

X-ray absorption spectroscopy. XAS was carried out at beamlines 4-1 and 6-2 at the Stanford Synchrotron Radiation Lightsource (SSRL). At beamline 4-1, which is a side station on a 20-pole wiggler beamline, the ring SPEAR3 was operated in top-off mode with a storage ring energy of 3 GeV and 500 mA stored current. A Si double-crystal (220) monochromator was detuned by 20–25% of maximum intensity to minimize harmonics at the Pt L₃ edge. XAS data were collected in fluorescence yield mode with a 30-element germanium solid-state detector array with samples pressed into a pellet at 25 °C. For energy calibration, a Pt foil reference was placed between the ion chambers upbeam and downbeam of the sample so that its spectrum was measured simultaneously with that of the sample.
At beamline 6-2, which is fed by a 56-pole, 0.9 Tesla wiggler, high-energy resolution
fluorescence detection HERFD XANES experiments were conducted with a liquid-nitrogen-
cooled double-crystal Si (311) monochromator to select the energy of the incident beam. A
Rowland circle spectrometer (radius 1 m) equipped with three spherically bent Si (800) analyzers
and a silicon drift detector were used to select the Pt-L_α emission line. A Pt foil was scanned in the
transmission mode for initial energy calibration. For ex-situ HERFD-XANES experiments, the
sample was pressed into a pellet at 25 °C. In each in-operando HERFD-XANES experiment,
approximately 50 mg of catalyst sample was loaded into a flow-through cell, a Kapton tube (i.d. =
2.8 mm), connected to a treatment gas line. The compositions of effluent gases flowing from the
cell were measured with an online mass spectrometer (Hiden HPR20). The Pt/MgO was first
heated in helium flowing at 20 mL(NTP)/min as the temperature was ramped from room
temperature to 210 °C at a rate of 5 °C/min. Then the feed gas was switched to a mixture of CO
flowing at 0.2 mL(NTP)/min + O_2 flowing at 0.8 mL(NTP)/min + helium flowing at 19
mL(NTP)/min with the sample in the cell held at 210 °C for 1 h as CO oxidation catalysis took
place. Thereafter, the reactor was cooled to room temperature and the gas feed switched to helium
flowing at 20 mL(NTP)/min. HERFD-XANES spectra were collected periodically during all these
steps.

**IR spectroscopy.** Transmission IR spectra of powder samples in the νO-H region were determined
with a Bruker IFS 66v/S spectrometer with a resolution of 4 cm\(^{-1}\). Approximately 10 mg of sample
was loaded between two KBr windows, and spectra were recorded at room temperature with the
sample under vacuum, with an average of 256 scans per spectrum. IR spectra of samples with
adsorbed CO were determined with approximately 40 mg of sample pressed into a wafer loaded
into a cell (In-situ Research Instruments, South Bend, IN) that served as a flow reactor fed with various gases, and transmission spectra of catalysts in the presence of these gases were recorded with a liquid-nitrogen-cooled MCT detector.

**CO oxidation catalysis in a conventional laboratory plug-flow reactor.** The catalyst samples were evaluated for CO oxidation in a once-through plug-flow reactor, with products analyzed with an online mass spectrometer (Hiden Analytical HPR20) equipped with a secondary electron multiplier detector used in multiple ion detection mode. Samples of catalyst powder (100 mg) that had passed through a 40 to 60 mesh sieve were loaded into a quartz tube reactor (i.d. = 4 mm), with the upstream and downstream sections packed with quartz wool. In experiments to determine light-off curves for CO oxidation, the feed was a mixture of 5.0% CO in helium flowing at 4.0 mL(NTP)/min + 5.0% O\textsubscript{2} in helium flowing at 16.0 mL(NTP)/min; the pressure was atmospheric. The reactor was heated from room temperature at a rate of 2 °C/min with the gases flowing and then held at 300 °C for 20 min before the reactor was cooled down.

In a separate flow reactor system, near-steady-state conversion data were obtained under the conditions stated in the preceding paragraph, except that the feed gas was a mixture of 5.0% CO in helium flowing at 5.0, 6.0, or 8.0 mL(NTP)/min + 5.0% O\textsubscript{2} in helium flowing at 20.0, 24.0, or 32.0 mL(NTP)/min. Catalytic reaction rates were calculated from low (differential) conversions (<5%) determined at temperatures in the range of 180–200 °C. In experiments determining the dependence of conversion on time on stream, the samples were kept on stream for up to 48 h to demonstrate stability at 210 °C; the feed was 5.0% CO in helium flowing at 4.0 mL(NTP)/min and 5.0% O\textsubscript{2} in helium flowing at 16.0 mL(NTP)/min.
**Scanning transmission electron microscopy.** STEM imaging experiments were performed on a JEOL 200CF (NEOARM) transmission electron microscope with an acceleration voltage of 200 kV. The specimens were prepared by a direct dispersion of powder samples on lacey carbon grids. The HAADF images were acquired with a convergence angle of 28.5 mrad and an inner collection angle of 55 mrad.

**Transmission electron microscopy.** TEM images of as-prepared sample powders loaded onto copper grids were recorded with a Hitachi H-7770 microscope at an acceleration voltage of 100 kV.

**X-ray diffraction crystallography**

XRD patterns of the samples were collected on a Philips X’Pert Pro Super diffractometer with a monochromatized Cu Kα radiation source and a wavelength of 0.1542 nm.

**Inductively coupled plasma mass spectrometry.**

The Pt loadings in the catalysts were determined by inductively coupled plasma mass spectrometry with a Thermo Scientific XSERIES 2 instrument.

**DFT calculations.**

Periodic DFT calculations were performed using the projector augmented wave method as implemented in the Vienna ab initio simulation package (VASP)\textsuperscript{21}. Energies were calculated using a 500 eV plane-wave cutoff with a $2 \times 1 \times 1$ Monkhorst–Pack k-point grid. A range of generalized gradient approximation functionals (PBE, RPBE, and PBESol) were used. Electronic energies
were converged to $10^{-6}$ eV, and all structures were relaxed until the forces were less than 0.05 eV/Å. The finite displacement method (0.02 Å) was used to calculate the entropic and zero-point energy corrections. For CO oxidation mechanism calculations, a 400 eV plane-wave cutoff was used with the RPBE functional, and dispersion corrections were considered with the DFT-D3 method with Becke-Johnson damping. Barriers were calculated using the climbing image nudged elastic band and dimer methods.

**Conventional XAS data analysis.**

a) The EXAFS and XANES data were analyzed with the Demeter package\(^{18}\). Pre-processing of data included alignment, edge calibration, deglitching, normalization, background subtraction, and conversion of data into a chi file for data fitting, performed with Athena. The energy at the Pt L\(_3\) edge, determined by the first inflection point of the absorption edge data characterizing the reference Pt foil, was calibrated to the reported energy, 11564.0 eV. EXAFS data were modeled with the Artemis package in Demeter. The continuous Cauchy wavelet transform (CCWT) was performed on the extracted EXAFS to visualize the data and assess how many scattering paths would be needed to fit the data\(^{20}\). Larch\(^{25}\) was used to perform the CCWT on EXAFS spectra at the Pt L\(_3\) edge characterizing Pt/MgO samples and Pt foil.

**Automated DFT-based fitting of EXAFS data.**

The EXAFS data were analyzed with the open-source X-ray Larch package\(^{25}\). Data processing, such as alignment, edge calibration, deglitching, normalization, and background subtraction, was performed using the Python interface to Larch. The DFT-optimized structures were used to
generate a feff.inp file using an in-house code. These feff.inp files were run in Larch, with FEFF used for generating scattering paths. The code uses Matplotlib for plotting the fitting results.

**FEFF and DOS modeling.** All HERFD simulations used DFT-optimized (PBE-D3) structures and were performed with FEFF 9 using SCF and FMS cutoff radii of 6 and 9 Å, respectively, which ensured convergence of the spectra\(^{33,34}\). The representative atomic potentials were chosen to reproduce chemical distinguishability for each atom type. On the basis of our experience with Pt-containing samples, we chose not to use a core-hole in the calculations\(^ {37,38}\). To properly simulate HERFD rather than the default XANES in FEFF, we also removed 1.8 eV from the default core-hole lifetime broadening of 5.2 eV. The self-energy was modeled using the density-dependent MPSE (many-pole self-energy) dielectric function approach based on a weighted average of the atomic loss functions\(^ {39}\). Moreover, to provide converged results up to about 200 eV above the edge, the maximum angular momenta for the site basis set were raised to 5, 4, and 4 for Pt, O, and Mg, respectively. Further, vibrational disorder was added using single-scattering Debye-Waller factors based on a correlated Debye model. The Debye temperature for this model was estimated for each system using average force constants for the first-shell Pt–O bonds obtained from the DFT structural simulations\(^ {27,28}\).

6. **References**


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8. Author contributions

Y.C. developed the catalyst synthesis, did IR characterization and catalytic reaction measurements with supervision by B.C.G., C.X.K. and A.R.K.; S.C., T.B. and Z.H. performed STEM measurements and analyzed data with supervision by M.C.; T.S. and R.R. performed the DFT calculations supervised by A.R.K.; Y.C., C.-Y.F., and A.S.H. performed the XAS experiments with supervision by S.R.B.; A.S.H., J.H. and R.R. performed the XAFS analysis; C.S and C.W. made the inductively coupled plasma mass spectrometry and x-ray diffraction crystallography measurements supervised by J.Z.; F.D.V. performed the HERFD-XANES data analysis. All authors analyzed and interpreted the results and contributed to the preparation of the manuscript.
B.C.G. and A.R.K. conceived the project and oversaw all portions. Y.C., R.R., and T.S. contributed equally to this work.

9. Additional information

Supplementary information is available in the online version of the paper. Correspondence and requests for materials should be addressed to C.X.K, S.R.B., B.C.G., or A.R.K.

10. Competing financial interests

The authors declare no conflict of interest.